



PATENT Attorney Docket No. 056291-5237

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re A	pplication of: Simon Nicholas BLACK et al.)	
Applic	ation No.: 10/572,635)	Group Art Unit: Unassigned
iled:	March 17, 2006)	Examiner: Unassigned
or:	POLYMORPHIC FORMS OF A KNOWN ANTIHYPERLIPEMIC AGENT)	Date: September 29, 2006
J.S. Pa Custon Randol 101 Du	issioner for Patents atent and Trademark Office ner Window, Mail Stop Amendment Iph Building ılany Street ıdria, VA 22314		

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Citation of Technically Related U.S. Patent Applications

The Examiner's attention is directed to the following technically related U.S. patents or applications of Applicants' assignee:

Inventor	U.S. Serial No.	U.S. Patent No.	PCT Publication No.
	Filing Date	Issue Date	PCT Publication Date
Nigel P. Taylor et al.	09/913,539	6,844,437	WO 00/49014
	December 7, 2001	January 18, 2005	August 24, 2000
Andrew J. Blacker et al.	10/275,092		WO 01/85975
	November 1, 2002		November 15, 2001
Jacob H. Kooistra et al.	10/333,351	6,870,059	WO 02/06266
	January 17, 2003	March 22, 2005	January 24, 2002
Akio Matsushita et al.	10/483,430		WO 03/006439
	January 12, 2004		January 23, 2003
Robert P. Hof	10/501,250		WO 03/059901
	July 8, 2004		July 24, 2003
Hermanus C. Bakel Van et al.	10/518,164		WO 03/106447
	July 25, 2005		December 24, 2003
John Horbury et al.	10/524,235		WO 2004/014872
	February 10, 2005		February 19, 2004
Lee Newton et al.	10/537,723		WO 2004/054986
	June 7, 2005		July 1, 2004

Inventor		U.S. Patent No.	PCT Publication No.
	Filing Date	Issue Date	PCT Publication Date
Jeffrey N. Crabb et al.	10/558,390		WO 2004/108691
	November 29, 2005		December 16, 2004
Rebecca J. Booth et al.	10/571,254		WO 2005/023779
	March 9, 2006		March 17, 2005
Tetsuo Okada et al.	10/576,774		WO 2005/042522
	April 21, 2006		May 12, 2005
Nigel P. Taylor et al	10/610,700	6,784,171	WO 00/49014
	July 2, 2003	August 31, 2004	August 24, 2000
Jacob H. Kooistra et al.	11/053,090		WO 02/06266
	February 7, 2005		January 24, 2002
Andrew J. Blacker et al.	11/412,047		WO 01/85975
	April 27, 2006		November 15, 2001

A copy of the specification and claims for each application, in the form of the published PCT application from which such application was filed is being filed herewith. Consideration of each listed application is earnestly solicited.

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants request the Examiner to consider this Information Disclosure Statement and documents listed on the attached Form PTO-1449. To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced Application. Accordingly, Applicants do not believe a fee is due for filing this Information Disclosure Statement.

With the exception of U.S. Patents and Publications, copies of the listed documents are attached.
Documents 10, 19 and 35 listed on the accompanying Form PTO-1449 were previously cited in the
International Search Report dated December 2, 2004 and on the Form PTO-1449 filed with this
application on March 17, 2006 but without copies of the documents. Applicants respectfully request that
the Examiner initial and return the Form PTO-1449, indicating that the information has been considered
and made of record herein.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." Applicants reserve the right to take appropriate action to establish the patentability of the disclosed

Attorney Docket No.: 056291-5237 Application No. 10/572,635 Page 3

invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R.

Bv:

Respectfully Submitted, Morgan Lewis & Bockius LLP

Date: September 29, 2006 Morgan Lewis & Bockius LLP Customer No. 09629 1111 Pennsylvania Avenue, N.W. Washington, D.C. 20004

Tel. No.: 202-739-3000

§1.136(a)(3).

Registration No. 46,882 Tel. No.: (202) 739-5915 Fax No.: (202) 739-3001

Attorney Docket No. 056291-5237

Application No. 10/572,635

INFORMATION DISCLOSURE CHAFTON

PTO Form 1449 September 29, 2006 Applicants: Simon Nicholas BLACK et al.

Filing Date: March 17, 2006

Group Art Unit: Unassigned

ILS PATENT DOCUMENTS

Initial		Document No.	Date	Name	Class	Sub-Class	Filing Date
	1.	US 20030114685	June 19, 2003	Niddam-Hildesheim et al.			
	2.	US 20050124639	June 9, 2005	Joshi et al.			
	3.	US 20050209259	September 22, 2005	Huang			
	4.	US 5,026,698	June 25, 1991	Fujikawa et al.			
	5.	US 6,784,171	August 31, 2004	Taylor et al.			
	6.	US 6,844,437	January 18, 2005	Taylor et al.			
	7.	US 6,870,059	March 22, 2005	Kooistra et al.			
	8.	US 6,875,867	April 5, 2005	Brodfuehrer et al.			

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-Class	Translation
9.	CA 2,545,316	May 26, 2005	Canada			
10.	EP 0521471	October 25, 2000	EPO			
11.	WO 93/08823	May 13, 1993	WIPO			
12.	WO 97/03959	February 6, 1997	WIPO			
13.	WO 97/19917	June 5, 1997	WIPO			Abstract
14.	WO 97/49681	December 31, 1997	WIPO			
15.	WO 00/42024	July 20, 2000	WIPO			
16.	WO 00/49014	August 24, 2000	WIPO			
17.	WO 01/36384	May 25, 2001	WIPO			
18.	WO 01/54669	August 2, 2001	WIPO			
19.	WO 01/60804	August 23, 2001	WIPO			
20.	WO 01/72706	October 4, 2001	WIPO			
21.	WO 01/85975	November 15, 2001	WIPO			
22.	WO 02/06266	January 24, 2002	WIPO			
23.	WO 02/30415	April 18, 2002	WIPO			
24.	WO 02/43667	June 6, 2002	WIPO			
25.	WO 02/43732	June 6, 2002	WIPO			
20.	WO 02/072566	September 19, 2002	WIPO			
27.	WO 02/083637	October 24, 2002	WIPO			
20.	WO 02/098854	December 12, 2002	WIPO			
29.	WO 03/006439	January 23, 2003	WIPO			
30.	WO 03/016317	February 27, 2003	WIPO			
31.	WO 03/059901	July 24, 2003	WIPO			
32.	WO 03/087112	October 23, 2003	WIPO			
33.	WO 03/097614	November 27, 2003	WIPO			-
34.	WO 03/106447	December 24, 2003	WIPO			
35.	WO 2004/014872	February 19, 2004	WIPO			
30.	WO 2004/052867	June 24, 2004	WIPO			
37.	WO 2004/054986	July 1, 2004	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner
/Venkataraman Balasubramanian/

Date Considered

03/02/2009

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attorney Docket No. Application No. INFORMATION DISCLOSURE CITATION 056291-5237 10/572,635 (Use several sheets if necessary) Applicants: Simon Nicholas BLACK et al. PTO Form 1449 September 29, 2006 Filing Date: March 17, 2006 Group Art Unit: Unassigned U.S. PATENT DOCUMENTS Initial Date Class Sub-Class Filing Date Document No. Name FOREIGN PATENT DOCUMENTS Document No. Country Class Sub-Class Translation Data 38. WO 2004/089895 October 21, 2004 WIPO WIPO 38. WO 2004/103977 December 2, 2004 WIPO 40. WO 2004/108691 December 16, 2004 41 WO 2005/005384 January 20, 2005 WIPO 42 WO 2005/023778 March 17, 2005 WIPO 43. WO 2005/023778 March 17, 2005 WIPO 44 WO 2005/030215 April 7, 2005 WIPO 45. WO 2005/040134 May 6, 2005 WIPO 46. WO 2005/042522 May 12, 2005 WIPO 47 WO 2005/047276 May 26, 2005 WIPO CA 2,545,316 48 WO 2005/051921 June 9, 2005 WIPO 49 WO 2005/054207 June 16, 2005 WIPO 50 WO 2005/056534 June 23, 2005 WIPO 51. WO 2005/063728 July 14, 2005 WIPO 52 WO 2005/068435 July 28, 2005 WIPO 53 WO 2005/077916 August 25, 2005 WIPO 54 WO 2005/077917 August 25, 2005 WIPO 55 WO 2005/092867 October 6, 2005 WIPO 56. WO 2006/017357 February 16, 2006 WIPO WO 2006/035277 April 6, 2006 WIPO 58 WO 2006/067456 June 29, 2006 WIPO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) Kaneko et al. "Preparation of optically active 5.6-epoxyhexanoic acid esters as materials for physiologically active substances" Chemical Abstracts + Indexes, American Chemical Society, Columbus, US 118(11):832 (1993) Menges et al. "Oxidative Degradation of y-Butyrolactons into 1,3-Diols via a Criegee Rearrangement of Peroxosulfonates. An Enantioselective Synthesis of Compactin Lactone and its Diastereomer" Synlett 12:901-905 Presentation given at the 20th International Congress of Heterocyclic Chemistry in Palermo, 1st-5th August 2005 Presentation given at the Gordon Conference on Heterocyclic Compounds, Salve Regina University, Newport, Rhode Island, 4th-9th July 2004 Sakaki et al. "Lipase-catalyzed asymmetric synthesis of 6-(3-chloro-2-hydroxypropyl)-1,3-dioxin-4-ones and their conversion to chiral 5,6-epoxyhexanoates" Tetrahedron: Asymmetry 2(5):343-346 (1991) Shao et al. "Asymmetric hydrogenation of 3,5-Dioxoesters catalyzed by Ru-binap complex: A short step asymmetric synthesis of 6-substituted 5,6-dihydro-2-pyrones" Tetrahedron 49(10):1997-2010 (1993) Watanabe et al. "Synthesis and Biological Activity of Methanesulfonamide Pyrimidine- and N-Methanesulfonyl Pyrrole-Substituted 3,5-Dihydroxy-6-heptenoates, a Novel Series of HMG-CoA Reductase Inhibitors" Bioorganic & Medicinal Chemistry 5(2):437-444 (1997)

Examiner

/Venkataraman Balasubramanian/

Date Considered

03/02/2009

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.